

1 **Title**

2 Interleukin-12 message in a bottle

3

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22 **Running title**

23 mRNA IL-12 in cancer immunotherapy

24

25 **Conflict of interests**

26 IM reports advisory roles with Roche-Genentech, Bristol-Myers Squibb, CYTOMX,
27 Incyte, MedImmune, Tusk, F-Star, Genmab, Molecular Partners, Alligator, Bioncotech,
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32 Ingelheim, and AstraZeneca. The rest of the authors have no conflict of interest to declare.

33

34 **Summary**

35 IL-12 is a very potent cancer immunotherapy agent but is difficult to harness safely if given
36 systemically. Local gene-transfer aims to confine the effects of IL-12 to malignant tissues,
37 thus avoiding toxicity. Lipid-nanoparticled messenger RNA achieves IL-12 expression and
38 efficacy in mouse models, opening the way to an ongoing trial.

39

40 **Main**

41 In this issue of *Clinical Cancer Research*, Hewitt and colleagues provide compelling results
42 on the preclinical antitumor efficacy of lipid nanoparticles containing mRNA encoding for
43 IL-12 (1). IL-12 is a dimeric cytokine and a single chain version of the moiety has been
44 constructed with a flexible linker. The immunotherapy agent for intratumoral delivery has
45 been optimized as a result of several lines of research. First, the lipid formulation is optimal
46 for gene transfer of tumor cells and other cells in tumor stroma ((2) and AACR 2020
47 abstract CT032). Second, the RNA construction has been optimized to attain maximal local
48 expression encompassing non-translated sequences to enhance translation and persistence.
49 Furthermore, such mRNA is devoid of activity on pathogen-associated molecular pattern
50 receptors that via type I IFN responses would otherwise compromise the expression of the
51 transgene. Having said so, a certain level of local interferon- α/β might be actually important
52 for an optimal antitumor immune response (3). Third, given the fact that systemic exposure
53 to IL-12 could be undesirable above certain levels, the construct incorporates a target miR-
54 122 sequence, so the expression in the liver of leaked agent from the injected tumors will
55 not pose a safety problem. This agent shows impressive efficacy against transplanted

56 mouse tumors, a fact which is not surprising since multiple approaches of IL-12 local gene
57 transfer have attained efficacious preclinical activity. Interestingly, prominent therapeutic
58 synergistic effects are found when combining the local IL-12 mRNA transfer with systemic
59 PD-L1 blockade. Most promisingly, this treatment leads to measurable efficacy on distant
60 non-injected tumors (**Fig. 1**).

61 IL-12 has a long history as an immunotherapy agent but its use is constrained by its
62 relatively narrow on-target therapeutic window. IL-12 acting on its receptors
63 (IL12R β 1/IL12R β 2) triggers high levels of IFN γ which is its main downstream mediator
64 not only for efficacy, but also for systemic toxicity (4). Recombinant protein trials given
65 intravenously were halted due to serious safety problems. In this scenario, a quest to
66 harness the potent immunobiology of this cytokine for cancer immunotherapy was
67 launched with multiple approaches having the common goal of attaining tumor-localized
68 and transient gene expression, that overall had impressive efficacy in mouse models (4) but
69 insufficiently translated to the clinic in monotherapy approaches in terms of efficacy. At the
70 beginning of this quest, viral vectors dominated the scenario but it is non-viral gene transfer
71 approaches which are currently the most promising (**Fig. 1**).

72 A relatively simple strategy has been the intralesional injection of an expression plasmid
73 encoding IL-12 (tavokinogene telseplasmid) into cutaneous or subcutaneous melanoma
74 lesions followed by *in vivo* electroporation to greatly augment gene transfer. This strategy
75 has attained single agent activity (ORR=35.7%) and promising results upon combination
76 with pembrolizumab (ORR=41%) in a single arm clinical trial (5). Importantly, tumors
77 from these patients showed the expected IL-12-attributable changes in T-cell infiltrates,
78 TCR sequencing and activation of Th1 and CTL antitumor immune responses. Results from

79 an on-going clinical trial are eagerly awaited, analyzing the local electroporation of
80 tavokinogene telseplasmid in combination with systemic pembrolizumab (NCT03132675).

81 Although there is extensive experience on repeated intralesional delivery into tumors
82 beyond the skin, the need for the electroporation procedure might pose obstacles for
83 visceral metastases. Using optimized lipid-nanoparticled mRNA therefore offers
84 advantages including the possibility of combining several immunostimulatory genes. In this
85 regard, a similar approach collectively injecting mRNAs for OX40L, IL-23 and IL-36 γ has
86 also shown consistently effective results in preclinical mouse models augmenting antitumor
87 immunity (2).

88 In the same regard, lipid formulation virtuosity seems to be conducive to the impressive
89 levels of local mRNA expression (2) already observed in clinical trials (AACR 2020
90 abstract CT032). Indeed, ionizable cationic lipids are key for optimal cytosolic delivery of
91 therapeutic mRNAs following endosomal disruption of the lipid nanoparticles.

92 Viral vectors might still have a future in IL-12-based immunotherapy because of enhanced
93 delivery, although repeated administration might be compromised by viral immunogenicity
94 and other factors. In fact, multiple viral vectors encoding IL-12 are under advanced
95 preclinical development and going forward to clinical programs with an intense safety
96 focus. Alternation of viral and non-viral IL-12 local gene transfer approaches is not
97 inconceivable and, although adding a layer of complexity, it might offer optimal therapeutic
98 results.

99 In a hybrid viral/non-viral approach RNAs encoding for self-replicating IL-12 encoding
100 RNA alpha-virus amplicons based on Venezuelan equine encephalitis virus have recently

101 shown impressive results in mouse models. Local intratumoral delivery is also achieved in
102 this case by conjugation in lipoplexes (3).

103 Important unresolved questions for these local IL-12 approaches are how often intratumoral
104 administration is needed and whether intermittent exposure is advisable to avoid
105 desensitization of the IL-12 receptor. The immunobiology of the IL-12-IFN γ axis is
106 fascinating but, in its intricacy, it turns on compensatory mechanisms such as PD-L1, IDO-
107 1 or SOCS-1 that require suitable combination partners to tackle the negative influence of
108 the compensatory mechanisms on the overall antitumor immune response. It is very
109 important to address whether IL-12 is only needed in one of the tumor lesions or some
110 systemic exposure is also needed, as experiments with tumor-tethered forms of IL-12 seem
111 to suggest (1,3). Accordingly, delivery, schedule, combinations and biodistribution will be
112 the key words for future progress (1). The idea that a tonic signal of IL-12 even at low
113 levels is needed to keep the immune system able to mount Th1 and CTL responses is
114 probably correct and offers opportunities for intervention and testable hypotheses since IL-
115 12 activity can be quantitatively assessed by STAT-4 phosphorylation levels.

116 In the clinical trial arena, IL-12-based agents are slowly making their way forward. A
117 systemically given IL-12 immunocytokine (NHS-IL-12) that targets extracellular DNA to
118 achieve selective biodistribution to the tumor seems to provide a reasonable therapeutic
119 window and is being tested in combination with avelumab (NCT02994953).

120 However, the beauty of local release as the means of turning tumors into their own vaccines
121 is the most elegant approach and mRNA might prove to be especially well suited for this
122 purpose. A clinical trial based on the approach preclinically reported in this issue of

123 Clinical Cancer Research is already ongoing. There are good reasons for optimism in
124 particular regarding its combination with the anti-PD-L1 monoclonal antibody durvalumab
125 (NCT03946800).

126

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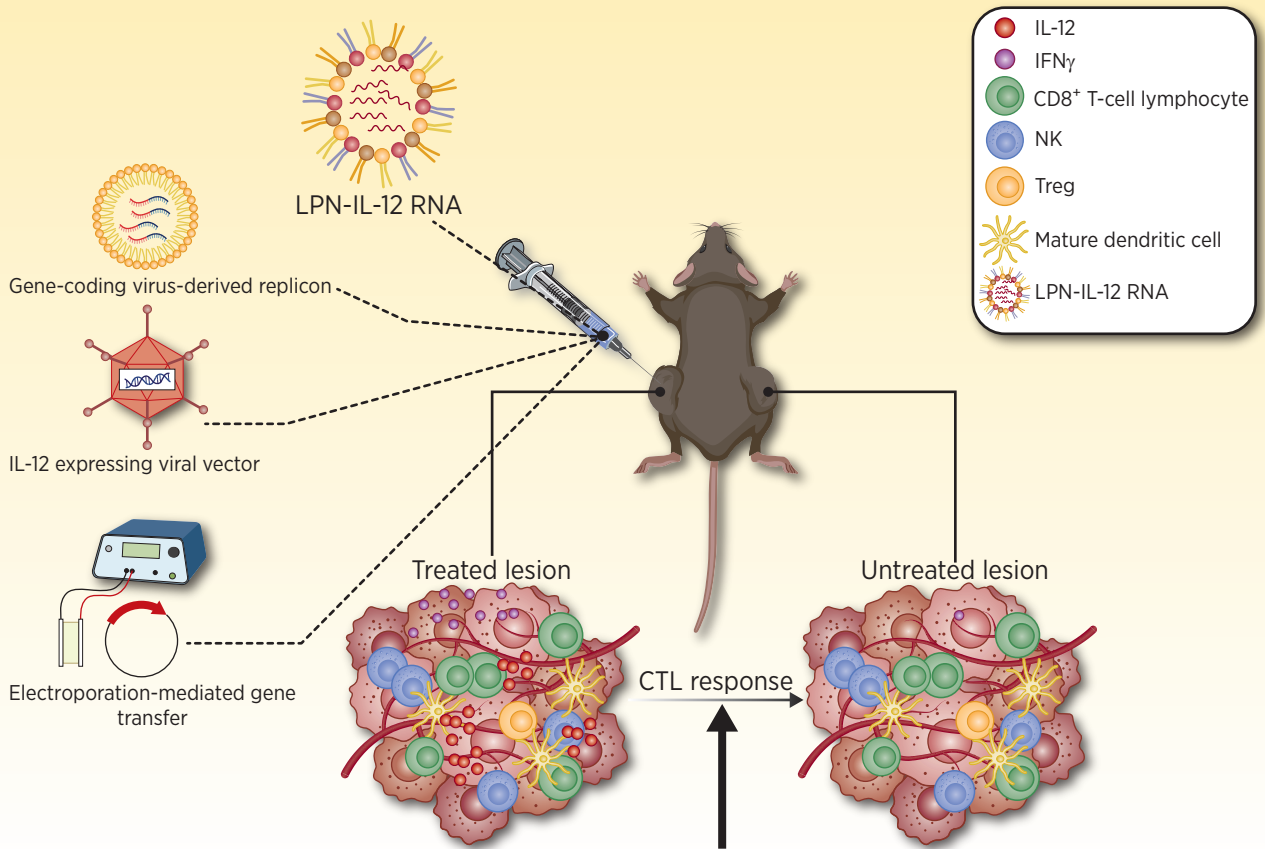
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161 **Figure legend**

162 **Figure 1. Schematic representation of local IL-12 gene transfer approaches and its**
163 **envisioned modes of action.** IL-12 local gene transfer with viral and non-viral vectors
164 seeks to locally foster antitumor immunity with the intention to unleash mechanisms that
165 would impact non-injected tumor lesions. Multiple cellular and molecular immune system
166 elements will be at play and the strategy benefits from synergistic combinations, such as
167 those with checkpoint inhibitors. Adapted from an image created with BioRender.com.

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